



COMMONWEALTH OF MASSACHUSETTS

Office of Consumer Affairs and Business Regulation

DIVISION OF INSURANCE

1000 Washington Street, Suite 810 • Boston, MA 02118-6200

(617) 521-7794

www.mass.gov/doi

Foreign Surplus Lines Insurer Eligibility Application

A foreign insurance company seeking to become eligible for **surplus lines authority** in Massachusetts must submit the following items for review:

- 1) _____ A copy of the applicant's most recently filed Annual Financial Statement and Quarterly Financial Statement.
- 2) _____ A copy of the applicant's most recent independent CPA Audit Report and Model Audit Rule attachment (from 211 CMR 26.12 and 211 CMR 26.18, if applicable).
- 3) _____ A copy of the applicant's license or Certificate of Authority from its state of domicile.
- 4) _____ A business plan for Massachusetts, which includes, but is not limited to the following information:
 - a) What type(s) of surplus lines placements the applicant will accept in Massachusetts;
 - b) A description of the applicant's experience in the type(s) of surplus lines placements the applicant will accept in Massachusetts.

Please forward the above items to:

Peter J. Arens
Financial Surveillance and Company Licensing
Massachusetts Division of Insurance
1000 Washington Street, Suite 810
Boston, MA 02118-6200

There is no fee for this application.

Massachusetts Division of Insurance
February 8, 2011